

Appendix 2 - A table updating the status of complaints since the Committee last met on 13th October 2022.

Reference	Date of complaint	Type of Complaint	File Status	Current Status/Outcome	Date closed
2020 closed complaints					
NCC 18/20	31/10/20	County Council	Closed	Investigation concluded no breach – No Further Action (NFA) October 2022 - Investigator disaggregated reports after one complainant withdrew evidence. Disaggregated report concluded no breach on 5 Jan 2023. VWV Solicitors assessed this as satisfactory on behalf of Monitoring Officer on 9 Feb 2023. Subject Member and complainant informed of NFA on 9 Feb 2023.	09/02/23
NCC 22/20	19/10/20	County Council	Closed	Investigation concluded no breach -NFA. October 2022 report was disaggregated. Final disaggregated report received in January 2023. VWV Solicitors assessed the investigation report on behalf of Monitoring Officer on 9 Feb 2023 and identified gaps, accordingly, the report was sent back to investigator to review. Disaggregated report concluded no breach on 13 April 2023. VWV assessed this as satisfactory on behalf of Monitoring Officer on 13 April 2023. Subject Member informed of NFA on 13 April 2023. Complainant not informed owing to on-going disengagement.	13/01/23 - subject to formal decision letter to be sent
NCC 23/20	19/10/20	County Council	Closed	First part of the complaint concluded after investigation and there was no evidence of breach of code. Second part of complaint concluded after investigation and found there was a breach of the code of conduct of failure to update declarations of interest register. In accordance and with following NCC locally agreed how to handle/manage complaints procedure and, after seeking advice from VWV Solicitors, a local resolution by way of an apology was appropriate.	17/03/23
2022 closed Complaints					
NCC 06/22	03/02/22	County Council	Closed	First allegation in complaint failed to reach threshold for investigation as insufficient evidence to support complaint. Second allegation already resolved in previous complaint. Third allegation in complaint resolved by local resolution by way of apology.	23-Jan-23 –
NCC 18/22	21/03/22	Parish Council	Closed	Local Resolution - Advice/Training given	09-Nov-22
NCC 28/22	18/03/22	County Council	Closed	Failed to meet threshold for investigation - Insufficient evidence to support complaint - NFA	28-Nov-22

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NCC 42/22	10/04/22	Parish Council	Closed	Local Resolution - Advice/Training given	28-Nov-22
NCC 43/22	10/05/22	County Council	Closed	Failed to meet threshold for investigation - insufficient evidence provided to support complaint – NFA	24-Nov-22
NCC 45/22	02/11/22	Parish Council	Closed	Failed to meet threshold for investigation - no evidence to support complaint – NFA	15-Feb-23
NCC 46/22	11/04/22	County Council	Closed	Failed to meet threshold for investigation - matter has already been resolved by apology- NFA	22-Nov-22
NCC 47/22	11/04/22	Parish Council	Closed	Failed to meet threshold for investigation - matter has already been resolved by apology - NFA	22-Nov-22
NCC 48/22	29/11/22	Parish Council	Closed	No jurisdiction - NFA. Does not relate to role as a Councillor	07-Feb-23
2022 ongoing Complaints					
NCC 02/22	01/07/22	County Council	Open	Assessment completed on 20 September 2022 - Additional IP appointed on the 2 November 2022 IP Agreed with assessment on 4 March 2023 that threshold assessment for further investigation completed and parties informed on 14 March 2023 - consulted external lawyers for further legal support as local resolution is being sought. Will be meeting with external lawyer after easter break.	
NCC 09/22	02/03/22	County Council	Open	Assessment completed on 20 September 2022 - Additional IP appointed on the 2 November 2022 IP Agreed with assessment on 4 March 2023 that threshold assessment for further investigation completed and parties informed on 14 March 2023 - consulted external lawyers for further legal support as local resolution is being sought. Will be meeting with external lawyer after easter break	
NCC 10/22	02/10/22	County Council	Open	Assessment completed on 20 September 2022 - Additional IP appointed 2 November 2022. IP Agreed with assessment on 4 March 2023 that threshold assessment for further investigation completed and parties informed on 14 March 2023 - consulted external lawyers for further legal support as local resolution is being sought. Will be meeting with external lawyer after easter break.	
NCC 13/22	25/02/22	County Council	Open	Assessment completed on 20 September 2022 - IP appointed on the 2 November 2022 - IP Agreed with assessment on 4 March 2023 that threshold assessment for further investigation completed and parties informed on 14 March 2023 - consulted external lawyers for further legal support as local resolution is being sought. Will be meeting with external lawyer after easter break.	

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NCC 15/22	03/03/22	County Council	Open	Assessment completed on 20 September 2022 - IP appointed 2 November 2022 - IP Agreed with assessment on 4 March 2023 that threshold assessment for further investigation completed and parties informed on 14 March 2023 - consulted external lawyers for further legal support as local resolution is being sought. Will be meeting with external lawyer after easter break.	
NCC 34/22	05/04/22	County Council	Open	Assessment completed on 20 September 2022 - IP appointed 2 November 2022 - IP Agreed with assessment on 4 March 2023 that the threshold assessment for further investigation completed and parties informed on 14 March 2023 - consulted external lawyers for further legal support as local resolution is being sought. Will be meeting with external lawyer after easter break	
NCC 36/22	06/11/22	Parish Council	Open	Met threshold for further investigation. Investigation ongoing.	
2023 closed Complaints					
NCC 03/23	17/02/23	County Council	Closed	No further action - complaint is a service complaint	17/02/23
NCC 06/23	27/03/23	Parish Council	Closed	No jurisdiction - NFA. Does not relate to role as a Councillor	04/05/23
2023 ongoing Complaints					
NCC 01/23	28/01/23	Parish Council	Open	Comments received from all parties. Sent to IP on 5.04.23. Await views of IP before concluding threshold test.	
NCC 02/23	02/02/23	County Council	Open	Have received comments from all parties. Sent to IP on 21.03.23. Await views of IP before concluding threshold assessment.	
NCC 04/23	24/02/23	Parish Council	Open	Awaiting further information from complainant - expected by 17.03.23 Further email to complainant; gentle reminder request for further information - expected by 14.04.23 - if no reply matter will be closed	
NCC 05/23	01/03/23	Parish Council	Open	Clarification provided by complainant on grounds for complaint. Sent to Subject Member for comment before consulting IP and carrying out threshold assessment. Comments expected by 25.04.23	
NCC 07/23	29/03/23	County Council	Open	Awaiting comments from subject member - comments expected by 21.04.23	

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NCC 08/23	22/03/23	County Council	Open	Awaiting comments from subject member - comments expected by 25.04.23	
NCC 09/23	06/04/23	County Council	Open	Awaiting comments from subject member - comments expected by 25.04.23	